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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 050-3-1 U.S. APPLICATION NO. (known) see 37 CFR 1.5) 10/351894
INTERNATIONAL APPLICATION NO. PCT/GB2004/000901	INTERNATIONAL FILING DATE 3/3/2004	PRIORITY DATE CLAIMED April 4, 2003
TITLE OF INVENTION Improved Method of Resistance Management for Transgenic Crops		
APPLICANT(S) FOR DO/EO/US Clough, Martin and McCaffrey, Alan		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
Items 11 to 20 below concern document(s) or information included:		
11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).		

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 2em; font-weight: bold; text-align: center;">10/551894</div>	INTERNATIONAL APPLICATION NO. PCT/GB2004/000901	ATTORNEY'S DOCKET NUMBER 050-3-1										
20. Other items or information:												
21. <input checked="" type="checkbox"/> The following fees have been submitted Basic national fee (37 CFR 1.492(a))..... \$300		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="text-align: left;">CALCULATIONS</th> <th style="text-align: left;">PTO USE ONLY</th> </tr> <tr> <td>\$ 300⁰⁰</td> <td></td> </tr> </table>	CALCULATIONS	PTO USE ONLY	\$ 300 ⁰⁰							
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22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 All other situations..... \$200		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 200⁰⁰</td> <td></td> </tr> </table>	\$ 200 ⁰⁰									
\$ 200 ⁰⁰												
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... \$400 All other situations..... \$500		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 500⁰⁰</td> <td></td> </tr> </table>	\$ 500 ⁰⁰									
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TOTAL OF 21, 22 and 23 =		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>1000⁰⁰</td> <td></td> </tr> </table>	1000 ⁰⁰									
1000 ⁰⁰												
<input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td></td> <td></td> </tr> </table>										
Total Sheets	Extra Sheets	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 50%;">Number of each additional 50 or fraction thereof (round up to a whole number)</th> <th style="width: 50%;">RATE</th> </tr> <tr> <td>- 100 =</td> <td>/50 =</td> </tr> <tr> <td></td> <td>x \$250</td> </tr> </table>	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE	- 100 =	/50 =		x \$250				
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- 100 =	/50 =											
	x \$250											
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$</td> <td></td> </tr> </table>	\$									
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CLAIMS	NUMBER FILED	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 50%;">NUMBER EXTRA</th> <th style="width: 50%;">RATE</th> </tr> <tr> <td>Total claims</td> <td>24 - 20 = 4</td> </tr> <tr> <td>Independent claims</td> <td>3 - 3 = 0</td> </tr> <tr> <td colspan="2">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> </tr> <tr> <td colspan="2">+ \$360</td> </tr> </table>	NUMBER EXTRA	RATE	Total claims	24 - 20 = 4	Independent claims	3 - 3 = 0	MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ \$360	
NUMBER EXTRA	RATE											
Total claims	24 - 20 = 4											
Independent claims	3 - 3 = 0											
MULTIPLE DEPENDENT CLAIM(S) (if applicable)												
+ \$360												
TOTAL OF ABOVE CALCULATIONS =		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 200⁰⁰</td> <td></td> </tr> </table>	\$ 200 ⁰⁰									
\$ 200 ⁰⁰												
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td></td> <td></td> </tr> </table>										
SUBTOTAL =		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 1200⁰⁰</td> <td></td> </tr> </table>	\$ 1200 ⁰⁰									
\$ 1200 ⁰⁰												
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$</td> <td></td> </tr> </table>	\$									
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TOTAL NATIONAL FEE =		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 1200⁰⁰</td> <td></td> </tr> </table>	\$ 1200 ⁰⁰									
\$ 1200 ⁰⁰												
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$</td> <td></td> </tr> </table>	\$									
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TOTAL FEES ENCLOSED =		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 1200⁰⁰</td> <td></td> </tr> </table>	\$ 1200 ⁰⁰									
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Amount to be refunded:		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$</td> <td></td> </tr> </table>	\$									
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Amount to be charged		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>\$ 1200⁰⁰</td> <td></td> </tr> </table>	\$ 1200 ⁰⁰									
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10/551894

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE


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- JC20 Rec'd PCT/PTO 04 OCT 2009**
- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 07-0190 in the amount of \$ 1200 to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-0190. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Dana Rewoldt
Garst Seed Company
2369 330th St, PO Box 500
Slater, IA 50244


SIGNATURE

Dana Rewoldt
NAME

Dana Rewoldt 33,762
REGISTRATION NUMBER

10/551894

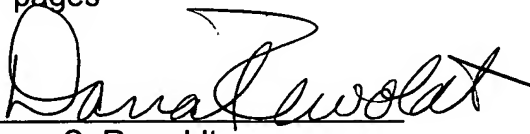
EXPRESS MAIL CERTIFICATION UNDER 37 C.F.R. 1.10

Express Mail Mailing Number ED905584895US

Date of Deposit October 4, 2005

I hereby certify that the attached hereto are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

- (1) Transmittal Letter Concerning a Submission Under 35 USC 371 consisting of 3 pages (in duplicate)
- (2) Copy of International Application consisting of 12 pages (front & back)
- (3) Copy of International Search Report consisting of 2 pages
- (4) Patent Application Data Sheet consisting of 3 pages
- (5) Preliminary Amendment consisting of 7 pages
- (6) Postcard


Dana S. Rewoldt

Docket No. 050-3-1